



NATIONAL SCIENCE FOUNDATION
OFFICE OF INSPECTOR GENERAL
OFFICE OF INVESTIGATIONS

CLOSEOUT MEMORANDUM

Case Number: I-17-0096-O

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We investigated an allegation from another federal agency that an NSF SBIR awardee had misused NSF funds. The matter was initially accepted for criminal prosecution by a U.S. Attorney's office prior to our involvement, however when the referring agency did not corroborate misuse of its agency's funds, that U.S. Attorney's office closed its case for lack of venue. Preliminary financial information appeared to show NSF awards funds being expended on non-award related, personal expenses. We issued an IG subpoena to the awardee for supporting financial documentation for the award. Based on the age of the award, the awardee no longer had an obligation to maintain the records and had destroyed the requested records. We referred the matter for criminal prosecution to a U.S. Attorney's Office with proper venue, and that office declined criminal prosecution.

This case is closed with no further action taken.