



NATIONAL SCIENCE FOUNDATION  
OFFICE OF INSPECTOR GENERAL  
OFFICE OF INVESTIGATIONS

## CLOSEOUT MEMORANDUM

Case Number: I-19-0065-O

Page 1 of 1

NSF OIG received a completed investigation report from an institution. The institution concurred with the findings of the Investigation Committee that a PI (PI-1) committed misconduct by falsifying data in a publication and conducted inappropriate authorship practices in four manuscripts. The institution imposed several corrective actions. Our review of the matter determined that the identified misconduct had no discernable nexus to NSF, although it had a resulting effect on PI-1's NSF Award. An institutional corrective action was a forthcoming faculty position termination of PI-1. The institution later transferred the NSF Award to a new PI (PI-2); NSF concurred with the change of PI.

Our further review determined that after a proposal was submitted to another federal agency, an almost entire duplicate proposal and a partially overlapping proposal were submitted by PI-1 to NSF. The proposal submitted to the other federal agency was not listed in the appropriate sections of the NSF proposals, a violation of NSF policy. We also determined that the second proposal submitted to NSF listed the first proposal submitted to NSF but did not accurately state the degree of overlap. The first proposal submitted to NSF was subsequently awarded. We sent PI-1 a questionable administrative practices letter regarding the submission of proposals and NSF policies.

Accordingly, this case is closed with no further action.